

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/092,469 | 03/08/2002 | Jeffrey Zettle | J-3351 (00050.094200) |

28165
S.C. JOHNSON & SON, INC.
1525 HOWE STREET
RACINE, WI 53403-2236

CONFIRMATION NO. 1192

FORMALITIES LETTER



OC000000007843057

Date Mailed: 04/10/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Sadie Plachens

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/19/2002 MBERHE 00000094 100849 10092469

01 FC:105 130.00 CH

COPY OF PAPERS
ORIGINALLY FILED



J-3351
USPTO Serial No. 10/092,469
PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jeffrey J. Zettle, *et al.*
Serial No: 10/092,469
Filed: March 8, 2002
Title: CONTAINER LID WITH SELECTABLE OPENING AND VALVE
ASSEMBLY FOR RETAINING A VALVE
Group Art Unit: 3727

COPY OF PAPERS
ORIGINALLY FILED

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents; Washington, D.C. 20231, on July 23, 2002

S.C. JOHNSON & SON, INC.

By: Suzan E. Rhode
Suzan E. Rhode
Date: July 23, 2002

S.C. Johnson & Son, Inc.
1525 Howe Street, MS 0077
Racine, WI 53403-2236

Customer No. 28165

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Dear Sir:

In response to the Notice to File Missing Parts of Application dated April 10, 2002, enclosed is a fully executed original Combined Declaration and Power of Attorney for Patent Application filed March 8, 2002 by the applicants, under U.S. Serial No. 10/092,469.

Please charge our Deposit Account No. 10-0849 in the amount of \$130.00 to cover the surcharge required to file this document. Please also charge our deposit account for the \$400 two-month extension of time fee and any additional fees or overpayments.

A copy of the Notice dated April 10, 2002 also accompanies this communication.

Respectfully submitted,

Dated: 7.22.02

Kristin L. Chapman
Kristin L. Chapman
Registration No. 38,102
262-260-2722

ms

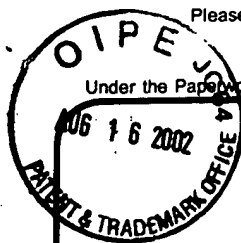
MP#

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/092,469

Filing Date March 8, 2002

First Named Inventor Jeffrey Zettle

Group Art Unit 3727

Examiner Name C. Kim

Total Number of Pages in This Submission

Attorney Docket Number J-3351

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☐ Fee Attached

☐ Amendment/Reply

☐ After Final

☐ Affidavits/declaration(s)

☒ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☒ Response to Missing Parts/Incomplete Application

☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation, Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

Request For Corrected Filing

Receipt/Copy of Corrected Filing Receipt.

Remarks

IF THERE ARE ANY CHARGES, PLEASE CHARGE TO OUR DEPOSIT ACCOUNT 10-0849.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Kristin L. Chapman

Signature

Kristin L. Chapman

Date

22 July 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: July 23, 2002

Typed or printed name

Suzan E. Rhode

Signature

Suzan E. Rhode

Date

July 23, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)
455.00

Complete if Known

| | |
|----------------------|--------------------------|
| Application Number | 10/092,469 |
| Filing Date | March 8, 2002 |
| First Named Inventor | Jeffrey J. Zettle et al. |
| Examiner Name | |
| Group Art Unit | 3727 |
| Attorney Docket No. | J-3351 |

COPY OF PAPERS
ORIGINAL FILED

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 10-0849
Deposit Account Name: S.C. JOHNSON & SON, INC.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-----|----------------------------|----------------------------|------------------------|----------|
| 101 | 740 | 201 370 | Utility filing fee | |
| 106 | 330 | 206 165 | Design filing fee | |
| 107 | 510 | 207 255 | Plant filing fee | |
| 108 | 740 | 208 370 | Reissue filing fee | |
| 114 | 160 | 214 80 | Provisional filing fee | |

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

| | Total Claims | Extra Claims | Fee from below | Fee Paid |
|---------------------------|--------------|--------------|----------------|----------|
| Independent Claims | | -20** = | X | |
| Multiple Dependent Claims | | -3** = | X | |

| | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|-----|----------------------------|----------------------------|--|
| 103 | 18 | 203 9 | Claims in excess of 20 |
| 102 | 84 | 202 42 | Independent claims in excess of 3 |
| 104 | 280 | 204 140 | Multiple dependent claim, if not paid |
| 109 | 84 | 209 42 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Fee Code | Large Entity (\$) | Small Entity (\$) | Fee Description | Fee Paid |
|--|-------------------|-------------------|--|----------|
| 105 | 130 | 205 65 | Surcharge - late filing fee or oath | 130.00 |
| 127 | 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 130 | Non-English specification | |
| 147 | 2,520 | 147 2,520 | For filing a request for ex parte reexamination | |
| 112 | 920* | 112 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 55 | Extension for reply within first month | |
| 116 | 400 | 216 200 | Extension for reply within second month | 400.00 |
| 117 | 920 | 217 460 | Extension for reply within third month | |
| 118 | 1,440 | 218 720 | Extension for reply within fourth month | |
| 128 | 1,960 | 228 980 | Extension for reply within fifth month | |
| 119 | 320 | 219 160 | Notice of Appeal | |
| 120 | 320 | 220 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 140 | Request for oral hearing | |
| 138 | 1,510 | 138 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 55 | Petition to revive - unavoidable | |
| 141 | 1,280 | 241 640 | Petition to revive - unintentional | |
| 142 | 1,280 | 242 640 | Utility issue fee (or reissue) | |
| 143 | 460 | 243 230 | Design issue fee | |
| 144 | 620 | 244 310 | Plant issue fee | |
| 122 | 130 | 122 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 | 126 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 740 | 246 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 740 | 249 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 740 | 279 370 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 900 | Request for expedited examination of a design application | |
| Other fee (specify) Corrected Filing Receipt | | | | 25.00 |

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)
455.00

SUBMITTED BY

| | | | | | |
|-------------------|---------------------------|-----------------------------------|---------------|-----------|--------------|
| Name (Print/Type) | Kristin L. Chapman | Registration No. (Attorney/Agent) | 38,102 | Telephone | 262-260-2722 |
| Signature | <i>Kristin L. Chapman</i> | Date | July 18, 2002 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Practitioner's Docket No. J-3351**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Application No.: 10 / 092,469Group No.: 3727Filed: March 8, 2002

Examiner:

For: Container Lid With Selectable Opening and Valve Assembly For Retaining A Valve

Assistant Commissioner for Patents

Washington, D.C. 20231

COPY OF PAPERS
ORIGINALLY FILED**REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

NOTE: The PTO will not correct the filing receipt until the application is complete (in other words, the applicant files a response to the notice to file missing parts).

2. There is an error with respect to the following data, which is:

☒ Incorrectly entered

and/or

☐ omitted.

Error in

1. ☒ Applicant's name
2. ☐ Applicant's address
3. ☐ Title
4. ☐ Filing Date
5. ☐ Application Number
6. ☐ Foreign/PCT Application Re:
7. ☐ Other

Correct data

1. Jeffrey J. Zettle
2. Craig R. Gosen
- 3.
- 4.
- 5.
- 6.
- 7.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: July 23, 2002

Suzan E. Rhode

Signature

Suzan E. Rhode

(type or print name of person certifying)

(Request for Corrected Filing Receipt [5-8]—page 1 of 2)

4. The error in the originally recorded cover sheet is apparent when that cover sheet is compared with the recorded document because:

The middle initials were omitted from the names:

Jeffrey J. Zettle
Craig R. Gosen



COPY OF PAPERS
ORIGINALLY FILED

5. The recording fee is shown in the corrected cover sheet filed herewith. Payment of this recording fee is in the manner set forth in the corrected cover sheet.

NOTE: "A fee is required for each application, patent and registration against which the document is recorded as identified in the cover sheet." 37 CFR 3.41

Reg. No.: 38,102

Tel. No.: (262) 260-2722

Customer No.: 28165

Kristin L. Chapman
SIGNATURE OF PRACTITIONER

Kristin L. Chapman
(type or print name of practitioner)
S.C. Johnson & Son, Inc.
1525 Howe Street
P.O. Address

Racine, Wisconsin 53403-2236

(Correction of Recorded Cover Sheet Error(s) [16-8]—page 2 of 2)



UNITED STATES PATENT AND TRADEMARK OFFICE

#3 COPY

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|--------------------------|----------|------------|------------|
| 10/092,469 | 03/08/2002 | 3727 | 1676 | J-3351 (00050.094200) | 10 | 58 | 6 |

28165
S.C. JOHNSON & SON, INC.
1525 HOWE STREET
RACINE, WI 53403-2236



CONFIRMATION NO. 1192

FILING RECEIPT



OC000000007843056

Date Mailed: 04/10/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

~~Jeffrey Zettle~~, Bay City, MI; Jeffrey J. Zettle
Gregory Ball, Girard, PA;
Anthony Weber, Racine, WI;
~~Craig Gosen~~, Sanford, MI; Craig R. Gosen

COPY OF PAPERS
ORIGINALLY FILED

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 04/10/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Container lid with selectable opening and valve assembly for retaining a valve

Preliminary Class

220